Video Transcript: Regions InvestPath: Automated Investing with Regions Investment Solutions

Note:
Fun, upbeat music begins to play.

On screen: Regions Investment Solutions Logo appears with title, "Automated Investing with Regions Investment Solutions"

[Voice-over] Whether you're investing for retirement

On screen: A video of a grandfather showing his grandson how to fish fades in while two green angled shapes slide up from the bottom of screen covering the bottom portion of the video. Text overlay: Investing for retirement.

[Voice-over] Investing for a major purchase

On screen: A new video fades in of a younger couple in their 30's and their two children running through their new home. New text over green angled shapes fades in: Investing for a major purchase

[Voice-over] or another goal...

On screen: A new video fades in of college students walking down the stairs on their campus. New text over green angled shapes fades in: Investing for another goal

[Voice-over] Regions offers InvestPath®—digital automated advice-powered investing that's customized for you.

On screen: A pie chart animates in with showing a diversified portfolio. The pie chart is divided into 7 sections representing different types of invesments. Text slides in over the green bottom right section of the screen: InvestPath® offers Regions clients automated, advice-powered, digital investing that's customized for you.

[Voice-over] ...with access to a dedicated Financial Advisor for guidance when you need it.

On screen: A dark blue background slides up over the green angle while a video of a younger male at his laptop talking on his headset fades in. The pie chart with diversified portfolio scales down and slides over to the left of the screen. The pie chart continues to change. Text slides in over the blue angle: Dedicated Financial Advisor for guidance

[Voice-over] Regions customers can open an InvestPath account through Online Banking...

On screen: A light green background wipes on an angle from the bottom of the screen while another dark green rectangle wipes from the top. A laptop slides in from the right into the center of the screen with the Regions Online Banking home page. The ad at the bottom of the page scales up into the center of the screen over the laptop that says "Investments just a click away. Start investing and build your secure, digital portfolio with InvestPath. Learn more."

[Voice-over] During the streamlined account opening process, we'll collect some basic information about you…

On screen: A video fades in of a male looking at his laptop with the account opening process screen. First step is "Getting Started" with basic contact information fields including First Name, Middle Name, Last Name, Email, and Birth Date.

[Voice-over] And the account you'd like to open.

On screen: The Getting Started page scrolls up to the "Your Goals" section and the user clicks on the "Build wealth" option for goals.

On screen: The page scrolls down to the "Your Investment Preferences" section of the questionnaire and the user selects "individual".

On screen: The page scrolls down again to the question, "How would you like to fund this account" and selects the option "Fund with a checking or savings account".

[Voice-over] Next, we'll ask a few questions to help us understand your financial goals and risk tolerance.

On screen: A light green background wipes on an angle from the bottom of the screen while another dark green rectangle wipes from the top. A new page slides in from the right and into the center of the screen containing the "Risk Portfolio" section of the questionnaire. There is a question that reads, "When do you expect to be withdrawing money from your investment account?" A cursor fades in and slides the small blue circle across to select the "3 to 4 years" option.

On screen: The cursor slides down to the second question that reads, "Once you begin withdrawing money from your investment account, how long do you expect the withdrawals to last?" The cursor slides the small blue circle across to select the option "I plan to take a lump sum distribution".

[Voice-over] We'll use your responses to recommend one of five asset allocation models

On screen: The risk portfolio page slides off screen to the left and there is a rounded corner white rectangle shape in the center of the screen with animated pie chart and text above it that says "Moderate". The rectangle with pie chart continues to scale back on screen while two others slide out from under to the left and two more to the right in the following order, Conservative, Balanced, Moderate, Growth and Aggressive. Text below: We'll use your responses to recommend one of five asset allocation models.

[Voice-over] tailored to your objectives.

On screen: A laptop slides in from the right into the center of the screen with the "Recommended Portfolio" page under "Your Portfolio" containing Name, Initial Investment amount, Account Type, Risk Profile, and Portfolio Allocation information.

[Voice-over] If you'd like to adjust your responses, just use the navigation, or back button.

On screen: The laptop continues to zoom in and slides to the right-hand side of the screen highlighting the navigation menu on the left-hand side of the page. Steps include Getting Started, Risk Profile, Your Profile, Account Setup, Funding, and Confirmation. Text slides out from under the laptop to the left: If you'd like to adjust your responses, just use the navigation or back button.

[Voice-over] To finish setting up your account, just provide some contact information…

On screen: The Account Setup page slides in from the right into the center of the screen containing the Financial Profile fields.

On screen: The cursor fills out the following fields… Annual Income, Adjusted Net Worth, Liquid Net Worth, Federal Tax Bracket, and 'How much do you expect to withdraw from this account over the next 3 years?'.

On screen: The cursor scrolls down and selects "Yes" under the 'Do you have any investment experience' questions.

[Voice-over] And fund your new InvestPath account from a Regions account or by linking an account you have with another financial provider.

On screen: The cursor goes up to the left-hand navigation menu and selects "Funding"

On screen: The cursor goes to the right and fills out the ABA Number and Account Number fields.

On screen: The cursor goes down to the "Account Type" and selects "Checking".

[Voice-over] Finally, review and accept the agreements to complete your account set-up.

On screen: The cursor goes back up to the navigation menu and selects "Confirmation"

On screen: The cursor goes to the right and selects the 'I agree' checkbox under "Cash Sweep" then selects the "Tax Certification" checkbox which is optional.

On screen: The cursor goes to the bottom right and selects the 'Finish' CTA button.

On screen: The “Success!” Page appears and the cursor elects the ‘View My Account’ CTA button.

[Voice-over] Once you’ve opened your account, you can access it by logging into Regions Online Banking where you’ll find it listed under “Save & Invest.”

On screen: A laptop fades in with the Regions Online Banking page. The page scrolls up to the “Save & Invest” accounts section. The cursor moves up the page to select the “Investpath” account.

On screen: An exit notice fades in stating that you are about to leave Regions Online Banking. The cursor moves over and selects the “Continue” CTA button.

On screen: An Extra security step page fades in and the cursor selects the “Next” CTA button.

[Voice-over] It’s easy to monitor and manage your account on your personalized investment account dashboard.

On screen: The InvestPath solutions home page fades in and the cursor moves up the page and selects the “My Accounts” from the main menu.

On screen: The green angled shapes slide up and down over the laptop while the “My Accounts” page slides in from the right into the center of the screen. Text under: Easy to monitor and manage your account

[Voice-over] Under My Accounts, you’ll see your InvestPath account listed along with any other investment accounts you may have with Regions Investment Solutions.

On screen: The InvestPath solutions home page fades in and the cursor moves up the page and selects the “My Accounts” from the main menu.

On screen: There are two accounts under the Investment Accounts section. The InvestPath Account is highlighted on screen while the rest of the page fades to white.

On screen: A cursor selects the InvestPath account.

[Voice-over] To view full details about your holdings and performance, just select the account name or number.

On screen: The InvestPath accounts page slides in from the right into the center of the screen.

On screen: The page scrolls up to view Holding’s information and accounts.

[Voice-over] Easily add money to your account…

On screen: The cursor moves up the page and selects the “Add Money” button. Text below: Easily add money to your account…

On screen: The Deposit money into my account overlay opens with options to select Frequency (weekly, once, biweekly, monthly), Day of the week, and deposit amount.

[Voice-over] And find other key tasks—like account withdrawals--under the “I want to” menu.

On screen: A laptop slides in from the left on an angle containing the My Accounts page.

On screen: A cursor selects the “I would like to” dropdown menu

On screen: The laptop is now facing forward and the “I would like to” dropdown menu appears and selects the “Withdraw money from my account”. The laptop scales back on screen.

[Voice-over] At any time, you can reach out to our team of Regions Financial Advisors for guidance, financial planning, or other investment services.

On screen: The green angles slide back down to the bottom of the screen while the Financial Advisor information is highlighted on the top right hand of the My Accounts page. Text on bottom right: Reach out to our team of Regions Financial Advisors for guidance, financial planning, or other investment services.

[Voice-over] To learn more and open an InvestPath account, Regions customers can log in to Regions Online Banking.

On screen: The Regions Online Banking Login page on Regions.com slides into the laptop. Text over green angle: Regions customers can learn more and enroll through Regions Online Banking.

On screen: Screen fades to white with the Regions Investment Solutions logo and disclosures.